# Estimates on Initial Coefficients of Certain Subclasses of Bi-Univalent Functions Associated with Quasi-Subordination 

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#### Abstract

In the present investigation we introduce some subclasses of the function class $\Sigma$ of bi-univalent functions defined in the open unit disk $\mathbb{U}$, which are associated with the quasi-subordination. We obtain the estimates on initial coefficients $\left|a_{2}\right|$ and $\left|a_{3}\right|$ for the functions in these subclasses. Also several related subclasses are considered and connection with some known results are established.


Keywords: Analytic function; Bi-univalent function; Quasi-subordination; Subordination; Univalent function.

## 1. Introduction

Let $\mathscr{A}$ be the class of all analytic functions $f$ which are : (i) normalized by the conditions $f(0)=0$ and $f^{\prime}(0)=1$ and (ii) defined on the open unit disk $\mathbb{U}=\{z: z \in \mathbb{C},|z|<1\}$. The Taylor's series expansion of $f \in \mathscr{A}$ is
$f(z)=z+\sum_{k=2}^{\infty} a_{k} z^{k}$.
The class of all functions in $\mathscr{A}$ which are univalent in the open unit disk $\mathbb{U}$ is denoted by $\mathscr{S}$. These univalent functions are invertible but their inverse functions may not be defined on the entire unit disk $\mathbb{U}$. The Koebe one-quarter theorem (see [4]) ensures that the image of $\mathbb{U}$ under every function $f \in \mathscr{S}$ contains a disk of radius $1 / 4$. Thus every function $f \in \mathscr{S}$ has an inverse (say $g$ ), satisfying $g(f(z))=z$ for all $z \in \mathbb{U}$ and $f(g(w))=w$, where $|w|<r_{0}(f), r_{0}(f) \geq 1 / 4$. In fact, it can be easily verified that the inverse function $g$ is given by
$g(w)=w-a_{2} w^{2}+\left(2 a_{2}^{2}-a_{3}\right) w^{3}-\left(5 a_{2}^{3}-5 a_{2} a_{3}+a_{4}\right) w^{4}+\cdots$.
A function $f \in \mathscr{A}$ is said to be bi-univalent in $\mathbb{U}$ if both $f$ and $f^{-1}$ are univalent in $\mathbb{U}$. The class of all bi-univalent functions defined in $\mathbb{U}$ is denoted by $\Sigma$.
Lewin [8] investigated the class $\Sigma$ of bi-univalent functions and showed that $\left|a_{2}\right|<1.51$ for the functions in the class $\Sigma$. Later, Brannan and Clunie [2] conjectured that $\left|a_{2}\right| \leq \sqrt{2}$. Also, Netanyahu [11] proved that $\max _{f \in \Sigma}\left|a_{2}\right|=4 / 3$. Still the coefficient estimate problem is open for each $\left|a_{n}\right|,(n=3,4, \cdots)$.
Brannan and Taha [3] (see also [17]) introduced certain subclasses of the bi-univalent function class $\Sigma$ similar to the subclasses $\mathscr{S}^{*}(\alpha)$ and $\mathscr{K}(\alpha)$ of starlike and convex functions of order $\alpha(0<\alpha \leq 1)$ respectively. Sirvastava et al.[16] introduced and investigated certain subclasses of bi-univalent function class $\Sigma$ and also obtained the initial coefficient bounds.

Ma and Minda [9] introduced the classes:

$$
\mathscr{S}^{*}(\phi)=\left\{f \in \mathscr{S} ;\left[z f^{\prime}(z) / f(z)\right] \prec \phi(z)\right\}
$$

and

$$
\mathscr{K}(\phi)=\left\{f \in \mathscr{S} ;\left[1+\left(z f^{\prime \prime}(z) / f^{\prime}(z)\right)\right] \prec \phi(z)\right\},
$$

where $\phi$ be an analytic function with positive real part in the unit disk $\mathbb{U}, \phi(0)=1, \phi^{\prime}(0)>0$ and maps $\mathbb{U}$ onto a region which is starlike with respect to 1 and symmetric with respect to the real axis. These classes includes several well known subclasses of starlike and convex functions respectively as special cases.
Robertson [15] introduced the concept of quasi-subordination in 1970. An analytic function $f$ is quasi-subordinate to another analytic function $\phi$, written as
$f(z) \prec_{q} \phi(z) ; \quad(z \in \mathbb{U})$
if there are the analytic functions $\psi$ and $w$ with $|\psi(z)| \leq 1, w(0)=$ 0 and $|w(z)|<1$ such that $f(z)=\psi(z) \phi(w(z))$. Observe that if $\psi(z)=1$ then $f(z)=\phi(w(z))$, so that $f(z) \prec \phi(z)$ in $\mathbb{U}$. (See [10] and [14] for work related to quasi-subordination.)
In this investigation we assumed that:
$\psi(z)=A_{0}+A_{1} z+A_{2} z^{2}+\cdots ; \quad(|\psi(z)| \leq 1, z \in \mathbb{U})$
and $\phi(z)$ is an analytic function in $\mathbb{U}$ with the form:
$\phi(z)=1+B_{1} z+B_{2} z^{2}+\cdots ; \quad\left(B_{1}>0\right)$.

## 2. Coefficient Estimates for the Function Class

 $\mathscr{R}_{\Sigma}^{q}(\lambda, \phi)$Definition 2.1: A function $f \in \Sigma$ given by (1) is said to be in the class $\mathscr{R}_{\Sigma}^{q}(\lambda, \phi)$ if the following quasi-subordination holds:
$\left[(1-\lambda) \frac{f(z)}{z}+\lambda f^{\prime}(z)-1\right] \prec_{q}(\phi(z)-1)$
and
$\left[(1-\lambda) \frac{g(w)}{w}+\lambda g^{\prime}(w)-1\right] \prec_{q}(\phi(w)-1)$
where $z, w \in \mathbb{U}, \lambda \geq 1$ and the functions $g$ and $\phi$ are given by (2) and (5) respectively.
Theorem 2.2: Let $f(z)$ given by (1) be in the class $\mathscr{R}_{\Sigma}^{q}(\lambda, \phi)$. Then,
$\left|a_{2}\right| \leq \min \left\{\frac{\left|A_{0}\right| B_{1}}{1+\lambda}, \sqrt{\frac{\left|A_{0}\right|\left(B_{1}+\left|B_{2}-B_{1}\right|\right)}{1+2 \lambda}}\right\}$
and

$$
\begin{aligned}
\left|a_{3}\right| \leq \min \{ & \frac{\left(\left|A_{0}\right|+\left|A_{1}\right|\right) B_{1}}{1+2 \lambda}+\frac{A_{0}^{2} B_{1}^{2}}{(1+\lambda)^{2}}, \\
& \left.\frac{\left|A_{1}\right| B_{1}+\left|A_{0}\right|\left(B_{1}+\left|B_{2}-B_{1}\right|\right)}{1+2 \lambda}\right\} .
\end{aligned}
$$

Proof: Since $f \in \mathscr{R}_{\Sigma}^{q}(\lambda, \phi)$, there exist two analytic functions $u, v: \mathbb{U} \rightarrow \mathbb{U}$ with $u(0)=v(0)=0,|u(z)|<1,|v(w)|<1$ and a function $\psi$ defined by (4) satisfies:
$\left[(1-\lambda) \frac{f(z)}{z}+\lambda f^{\prime}(z)-1\right]=\psi(z)[\phi(u(z))-1]$
and
$\left[(1-\lambda) \frac{g(w)}{w}+\lambda g^{\prime}(w)-1\right]=\psi(w)[\phi(v(w))-1]$.
Define the functions $p$ and $q$ such that:
$p(z)=\frac{1+u(z)}{1-u(z)}=1+c_{1} z+c_{2} z^{2}+\cdots$
and
$q(w)=\frac{1+v(w)}{1-v(w)}=1+d_{1} w+d_{2} w^{2}+\cdots$
equivalently,
$u(z)=\frac{p(z)-1}{p(z)+1}=\frac{1}{2}\left[c_{1} z+\left(c_{2}-\frac{c_{1}^{2}}{2}\right) z^{2}+\cdots\right]$
and
$v(w)=\frac{q(w)-1}{q(w)+1}=\frac{1}{2}\left[d_{1} w+\left(d_{2}-\frac{d_{1}^{2}}{2}\right) w^{2}+\cdots\right]$.
Clearly $p$ and $q$ are analytic in $\mathbb{U}$ with $p(0)=q(0)=1$ and have their positive real part in $\mathbb{U}$. Hence $\left|c_{i}\right| \leq 2$ and $\left|d_{i}\right| \leq 2$ (see [12]). Using (10) and (11) together with (4) and (5) in the RHS of (8) and (9), we get

$$
\begin{array}{r}
\psi(z)[\phi(u(z))-1]=\frac{1}{2} A_{0} B_{1} c_{1} z+ \\
\left\{\frac{1}{2} A_{1} B_{1} c_{1}+\frac{1}{2} A_{0} B_{1}\left(c_{2}-\frac{c_{1}^{2}}{2}\right)+\frac{A_{0} B_{2}}{4} c_{1}^{2}\right\} z^{2}+\cdots \tag{12}
\end{array}
$$

and

$$
\begin{array}{r}
\psi(w)[\phi(v(w))-1]=\frac{1}{2} A_{0} B_{1} d_{1} w+ \\
\left\{\frac{1}{2} A_{1} B_{1} d_{1}+\frac{1}{2} A_{0} B_{1}\left(d_{2}-\frac{d_{1}^{2}}{2}\right)+\frac{A_{0} B_{2}}{4} d_{1}^{2}\right\} w^{2}+\cdots \tag{13}
\end{array}
$$

Since the function $f$ and its inverse $g$ are given by (1) and (2) respectively, we have

$$
\begin{equation*}
\left[(1-\lambda) \frac{f(z)}{z}+\lambda f^{\prime}(z)-1\right]=(1+\lambda) a_{2} z+(1+2 \lambda) a_{3} z^{2}+\cdots \tag{14}
\end{equation*}
$$

and

$$
\begin{array}{r}
{\left[(1-\lambda) \frac{g(w)}{w}+\lambda g^{\prime}(w)-1\right]=-(1+\lambda) a_{2} w+}  \tag{15}\\
(1+2 \lambda)\left(2 a_{2}^{2}-a_{3}\right) w^{2}+\cdots
\end{array}
$$

Using (12) to (15) in (8) and (9) and then comparing the coefficients of $z, z^{2}, w$ and $w^{2}$; we get
$(1+\lambda) a_{2}=\frac{1}{2} A_{0} B_{1} c_{1}$,

$$
\begin{equation*}
(1+2 \lambda) a_{3}=\frac{1}{2} A_{1} B_{1} c_{1}+\frac{1}{2} A_{0} B_{1}\left(c_{2}-\frac{c_{1}^{2}}{2}\right)+\frac{A_{0} B_{2}}{4} c_{1}^{2} \tag{17}
\end{equation*}
$$

$-(1+\lambda) a_{2}=\frac{1}{2} A_{0} B_{1} d_{1}$
and
$(1+2 \lambda)\left(2 a_{2}^{2}-a_{3}\right)=\frac{1}{2} A_{1} B_{1} d_{1}+\frac{1}{2} A_{0} B_{1}\left(d_{2}-\frac{d_{1}^{2}}{2}\right)+\frac{A_{0} B_{2}}{4} d_{1}^{2}$.

From (16) and (18), it follows that
$c_{1}=-d_{1}$
and
$8(1+\lambda)^{2} a_{2}^{2}=A_{0}^{2} B_{1}^{2}\left(c_{1}^{2}+d_{1}^{2}\right)$.
Also by adding (17) in (19) in light of (20), we get
$8(1+2 \lambda) a_{2}^{2}=2 A_{0} B_{1}\left(c_{2}+d_{2}\right)+A_{0}\left(B_{2}-B_{1}\right)\left(c_{1}^{2}+d_{1}^{2}\right)$.
Applying $\left|c_{i}\right| \leq 2$ and $\left|d_{i}\right| \leq 2$ in (21) and (22), we get the desired result (6).
Next, for the bound on $\left|a_{3}\right|$, by subtracting (19) from (17), we obtain
$a_{3}=a_{2}^{2}+\frac{2 A_{1} B_{1} c_{1}+A_{0} B_{1}\left(c_{2}-d_{2}\right)}{4(1+2 \lambda)}$.
Using (21) with $\left|c_{i}\right| \leq 2$ and $\left|d_{i}\right| \leq 2$ in (23), we get
$\left|a_{3}\right| \leq \frac{\left(\left|A_{0}\right|+\left|A_{1}\right|\right) B_{1}}{(1+2 \lambda)}+\frac{A_{0}^{2} B_{1}^{2}}{(1+\lambda)^{2}}$.
Also, using (22) with $\left|c_{i}\right| \leq 2$ and $\left|d_{i}\right| \leq 2$ in (23), we get
$\left|a_{3}\right| \leq \frac{\left|A_{1}\right| B_{1}+\left|A_{0}\right|\left(B_{1}+\left|B_{2}-B_{1}\right|\right)}{1+2 \lambda}$.
From (24) and (25), we get the desired result (7).
This completes the proof of Theorem 2.2.
Observe that, if we set $\psi(z)=1$ in Definition 2.1, then the quasisubordination reduces to subordination and the subclass $\mathscr{R}_{\Sigma}^{q}(\lambda, \phi)$ reduces to $\mathscr{R}_{\Sigma}(\lambda, \phi)$. Hence we get the following corollary:
Corollary 2.3: Let the function $f(z)$ given by (1) be in the class $\mathscr{R}_{\Sigma}(\lambda, \phi)$. Then,
$\left|a_{2}\right| \leq \min \left\{\frac{B_{1}}{1+\lambda}, \sqrt{\frac{B_{1}+\left|B_{2}-B_{1}\right|}{1+2 \lambda}}\right\}$
and
$\left|a_{3}\right| \leq \min \left\{\frac{B_{1}}{1+2 \lambda}+\frac{B_{1}^{2}}{(1+\lambda)^{2}}, \frac{B_{1}+\left|B_{2}-B_{1}\right|}{1+2 \lambda}\right\}$.
If we set $\psi(z)=1$ and $\lambda=1$ in Theorem 2.2, then we get the following corollary:
Corollary 2.4: Let the function $f(z)$ given by (1) be in the class $\mathscr{R}_{\Sigma}(\phi)$. Then,
$\left\lvert\, a_{2} \leq \min \left\{\frac{B_{1}}{2}, \sqrt{\frac{B_{1}+\left|B_{2}-B_{1}\right|}{3}}\right\}\right.$
and
$\left|a_{3}\right| \leq \min \left\{\frac{B_{1}}{3}+\frac{B_{1}^{2}}{4}, \frac{B_{1}+\left|B_{2}-B_{1}\right|}{3}\right\}$.
Remark 2.5: Corollaries (2.3) and (2.4) are the improvements of the estimates obtained in Theorem 2.1 given by Kumar et al. [7] and Theorem 2.1 given by Ali et al. [1], respectively.
Remark 2.6: If we set

$$
\begin{aligned}
\phi(z) & =\frac{1+(1-2 \beta) z}{1-z} \\
& =1+2(1-\beta) z+2(1-\beta) z^{2}+\cdots ; \quad(0 \leq \beta<1)
\end{aligned}
$$

in Corollaries (2.3) and (2.4) then we get the improvements of the estimates obtained in Theorem 3.2 given by Frasin and Aouf [5] and Theorem 2 given by Srivastava et al. [16], respectively.

## 3. Coefficient Estimates for the Function Class

 $\mathscr{S}_{\Sigma}^{*, q}(\phi)$Definition 3.1: A function $f \in \Sigma$ given by (1) is said to be in the class $\mathscr{S}_{\Sigma}^{*, q}(\phi)$ if the following quasi-subordination holds:

$$
\left[\frac{z f^{\prime}(z)}{f(z)}-1\right] \prec_{q}(\phi(z)-1)
$$

and
$\left[\frac{w g^{\prime}(w)}{g(w)}-1\right] \prec_{q}(\phi(w)-1)$
where $z, w \in \mathbb{U}$ and the functions $g$ and $\phi$ are given by (2) and (5) respectively.
Theorem 3.2: Let $f(z)$ given by (1) be in the class $\mathscr{S}_{\Sigma}^{*, q}(\phi)$. Then,
$\left|a_{2}\right| \leq \min \{L, M, N\}$
where,

$$
\begin{gathered}
L=\sqrt{\left|A_{0}\right|\left(B_{1}+\left|B_{2}-B_{1}\right|\right)}, M=\sqrt{\frac{A_{0}^{2} B_{1}^{2}+\left|A_{0}\right|\left(B_{1}+\left|B_{2}-B_{1}\right|\right)}{2}} \\
N=\frac{\left|A_{0}\right| B_{1} \sqrt{\left|A_{0}\right| B_{1}}}{\sqrt{A_{0}^{2} B_{1}^{2}+\left|A_{0}\right|\left|B_{1}-B_{2}\right|}}
\end{gathered}
$$

and

$$
\begin{equation*}
\left|a_{3}\right| \leq \min \{P, Q, R\} \tag{27}
\end{equation*}
$$

where,

$$
\begin{aligned}
& P=\frac{\left|A_{1}\right| B_{1}}{2}+\left|A_{0}\right|\left(B_{1}+\left|B_{2}-B_{1}\right|\right) \\
& Q=\frac{A_{0}^{2} B_{1}^{2}+\left|A_{0}\right|\left(B_{1}+\left|B_{2}-B_{1}\right|\right)-2\left|A_{1}\right| B_{1}}{2}
\end{aligned}
$$

$$
R=\frac{1}{4}\left[\left(\left|A_{0}\right|+2\left|A_{1}\right|\right) B_{1}+3\left|A_{0}\right| B_{1} \cdot \max \left\{1,\left|\frac{B_{1}-4 B_{2}}{3 B_{1}}\right|\right\}\right] .
$$

Proof: Since $f \in \mathscr{S}_{\Sigma}^{*, q}(\phi)$, there exist two analytic functions $u, v$ : $\mathbb{U} \rightarrow \mathbb{U}$ with $u(0)=v(0)=0,|u(z)|<1,|v(w)|<1$ and a function $\psi$ defined by (4) satisfies:
$\left[\frac{z f^{\prime}(z)}{f(z)}-1\right]=\psi(z)[\phi(u(z))-1]$
and
$\left[\frac{w g^{\prime}(w)}{g(w)}-1\right]=\psi(w)[\phi(v(w))-1]$.
Define the functions $p$ and $q$ analytic in $\mathbb{U}$ as in (10) and (11) and then proceed similarly up to (13). Also on expanding LHS of (28) and (29) using (1) and (2), we get
$\left[\frac{z f^{\prime}(z)}{f(z)}-1\right]=a_{2} z+\left(2 a_{3}-a_{2}^{2}\right) z^{2}+\cdots$
and
$\left[\frac{w g^{\prime}(w)}{g(w)}-1\right]=-a_{2} w+\left(3 a_{2}^{2}-2 a_{3}\right) w^{2}+\cdots$.
Using (12), (13), (30) and (31) in (28) and (29) and then equating the coefficients of $z, z^{2}, w, w^{2}$; we get
$a_{2}=\frac{1}{2} A_{0} B_{1} c_{1}$,
$2 a_{3}=\frac{1}{2} A_{0} B_{1} c_{1} a_{2}+\frac{1}{2} A_{1} B_{1} c_{1}+\frac{1}{2} A_{0} B_{1}\left(c_{2}-\frac{c_{1}^{2}}{2}\right)+\frac{1}{4} A_{0} B_{2} c_{1}^{2}$,
$-a_{2}=\frac{1}{2} A_{0} B_{1} d_{1}$
and

$$
\begin{align*}
4 a_{2}^{2}-2 a_{3}= & -\frac{1}{2} A_{0} B_{1} d_{1} a_{2}+\frac{1}{2} A_{1} B_{1} d_{1}+ \\
& \frac{1}{2} A_{0} B_{1}\left(d_{2}-\frac{d_{1}^{2}}{2}\right)+\frac{1}{4} A_{0} B_{2} d_{1}^{2} \tag{35}
\end{align*}
$$

Using (32) and (34), we get
$c_{1}=-d_{1}$,
$8 a_{2}^{2}=\left(c_{1}^{2}+d_{1}^{2}\right) A_{0}^{2} B_{1}^{2}$
and
$4 a_{2}=\left(c_{1}-d_{1}\right) A_{0} B_{1}$.
Adding (33) and (35) and then using (38), we get
$8 a_{2}^{2}=A_{0}\left[2\left(c_{2}+d_{2}\right) B_{1}+\left(c_{1}^{2}+d_{1}^{2}\right)\left(B_{2}-B_{1}\right)\right]$.
Adding (33) and (35) and then using (32) and (36), we get
$16 a_{2}^{2}=2 A_{0}^{2} B_{1}^{2} d_{1}^{2}+2\left(c_{2}+d_{2}\right) A_{0} B_{1}+A_{0}\left(c_{1}^{2}+d_{1}^{2}\right)\left(B_{2}-B_{1}\right)$.
Adding (33) and (35) and then using (37) and (38), we get
$4\left(A_{0}^{2} B_{1}^{2}+A_{0} B_{1}-A_{0} B_{2}\right) a_{2}^{2}=\left(c_{2}+d_{2}\right) A_{0}^{3} B_{1}^{3}$.
Now, (39), (40) and (41) along with $\left|c_{i}\right| \leq 2$ and $\left|d_{i}\right| \leq 2$, gives the desired estimate on $a_{2}$ as asserted in (26).

Next, for estimate on $\left|a_{3}\right|$ subtracting (33) from (35) and then using (36), we get
$-4 a_{3}=-4 a_{2}^{2}+A_{1} B_{1} c_{1}+\frac{1}{2}\left(d_{2}-c_{2}\right) A_{0} B_{1}$.
Using (40) in (42), we get
$16 a_{3}=2 A_{0}^{2} B_{1}^{2} d_{1}^{2}+4 A_{0} B_{1} c_{2}+A_{0}\left(c_{1}^{2}+d_{1}^{2}\right)\left(B_{2}-B_{1}\right)-4 A_{1} B_{1} c_{1}$.

Subtracting (35) from (33) and then using (39), we get
$4 a_{3}=\frac{1}{2}\left(3 c_{2}+d_{2}\right) A_{0} B_{1}+c_{1}^{2} A_{0}\left(B_{2}-B_{1}\right)+A_{1} B_{1} c_{1}$
or
$4 a_{3}=\frac{1}{2} A_{0} B_{1} d_{2}+\frac{3 A_{0} B_{1}}{2}\left[c_{2}-\frac{2\left(B_{1}-B_{2}\right)}{3 B_{1}} c_{1}^{2}\right]+A_{1} B_{1} c_{1}$.
On applying the result given by Keogh and Merkes [6] (see also [13]), that is for any complex number $z,\left|c_{2}-z c_{1}^{2}\right| \leq 2 \cdot \max \{1,|2 z-1|\}$, along with $\left|d_{2}\right| \leq 2$ in (45), we obtain
$4\left|a_{3}\right| \leq\left|A_{0}\right| B_{1}+2\left|A_{1}\right| B_{1}+3\left|A_{0}\right| B_{1} \cdot \max \left\{1,\left|\frac{B_{1}-4 B_{2}}{3 B_{1}}\right|\right\}$.
Equations (43), (44) and (46) along with $\left|c_{i}\right| \leq 2$ and $\left|d_{i}\right| \leq 2$, gives the desired estimate on $a_{3}$ as asserted in (27).
This completes the proof of Theorem 3.2.
Remark 3.3: If we set $\psi(z)=1$ and $\phi(z)=[1+(1-2 \beta) z] /(1-$ $z) ;(0 \leq \beta<1)$ in Theorem 3.2, then we have $B_{1}=B_{2}=2(1-\beta)$ and the class $\mathscr{S}_{\Sigma}^{*, q}(\phi)$ reduce to the class $\mathscr{S}_{\Sigma}^{*}(\beta)$ studied by Brannan and Taha [3]. Note that in the estimate of $a_{2}$ for the class $\mathscr{S}_{\Sigma}^{*}(\beta)$ we get an improvement in Theorem 3.1 given by Brannan and Taha [3]. Remark 3.4: If we set $\psi(z)=1$ and $\phi(z)=[(1+z) /(1-z)]^{\alpha} ;(0<$ $\alpha \leq 1)$ in Theorem 3.2, then we have $B_{1}=2 \alpha, B_{2}=2 \alpha^{2}$ and the class $\mathscr{S}_{\Sigma}^{*, q}(\phi)$ reduce to the class $\mathscr{S}_{\Sigma, \alpha}^{*}$ studied by Brannan and Taha [3]. Note that for the class $\mathscr{S}_{\Sigma, \alpha}^{*}$ we get the same estimate $\left|a_{2}\right| \leq 2 \alpha / \sqrt{1+\alpha}$ as in Theorem 2.1 given by Brannan and Taha [3].

## 4. Coefficient Estimates for the Function Class $\mathscr{K}_{\Sigma}^{q}(\phi)$

Definition 4.1: A function $f \in \Sigma$ given by (1) is said to be in the class $\mathscr{K}_{\Sigma}^{q}(\phi)$ if the following quasi-subordination holds:
$\left[\left(1+\frac{z f^{\prime \prime}(z)}{f^{\prime}(z)}\right)-1\right] \prec_{q}(\phi(z)-1)$
and
$\left[\left(1+\frac{w g^{\prime \prime}(w)}{g^{\prime}(w)}\right)-1\right] \prec_{q}(\phi(w)-1)$
where $z, w \in \mathbb{U}$ and the functions $g$ and $\phi$ are given by (2) and (5) respectively.
Theorem 4.2: Let $f(z)$ given by (1) be in the class $\mathscr{K}_{\Sigma}^{q}(\phi)$. Then,
$\left|a_{2}\right| \leq \min \left\{\sqrt{\frac{A_{0}^{2} B_{1}^{2}+\left|A_{0}\right|\left(B_{1}+\left|B_{2}-B_{1}\right|\right)}{6}}, \frac{\left|A_{0}\right| B_{1}}{2}\right\}$
and

$$
\begin{gather*}
\left|a_{3}\right| \leq \min \left\{\frac{A_{0}^{2} B_{1}^{2}+\left|A_{0}\right|\left(B_{1}+\left|B_{2}-B_{1}\right|\right)-\left|A_{1}\right| B_{1}}{6},\right. \\
\left.\frac{3 A_{0}^{2} B_{1}^{2}+2\left(\left|A_{0}\right|+\left|A_{1}\right|\right) B_{1}}{12}\right\} \tag{48}
\end{gather*}
$$

Proof: Since $f \in \mathscr{K}_{\Sigma}^{q}(\phi)$, there exist two analytic functions $u, v$ : $\mathbb{U} \rightarrow \mathbb{U}$ with $u(0)=v(0)=0,|u(z)|<1,|v(w)|<1$ and a function $\psi$ defined by (4) satisfies:
$\left[\left(1+\frac{z f^{\prime \prime}(z)}{f^{\prime}(z)}\right)-1\right]=\psi(z)[\phi(u(z))-1]$
and
$\left[\left(1+\frac{w g^{\prime \prime}(w)}{g^{\prime}(w)}\right)-1\right]=\psi(w)[\phi(v(w))-1]$.
Proceeding similarly as in Theorem 2.2 and Theorem 3.2, we get

$$
\begin{array}{r}
2 a_{2} z+\left(6 a_{3}-4 a_{2}^{2}\right) z^{2}+\cdots=\frac{1}{2} A_{0} B_{1} c_{1} z+ \\
\left\{\frac{1}{2} A_{1} B_{1} c_{1}+\frac{1}{2} A_{0} B_{1}\left(c_{2}-\frac{c_{1}^{2}}{2}\right)+\frac{A_{0} B_{2}}{4} c_{1}^{2}\right\} z^{2}+\cdots \tag{51}
\end{array}
$$

and

$$
\begin{array}{r}
-2 a_{2} w+\left(8 a_{2}^{2}-6 a_{3}\right) w^{2}+\cdots=\frac{1}{2} A_{0} B_{1} d_{1} w+ \\
\left\{\frac{1}{2} A_{1} B_{1} d_{1}+\frac{1}{2} A_{0} B_{1}\left(d_{2}-\frac{d_{1}^{2}}{2}\right)+\frac{A_{0} B_{2}}{4} d_{1}^{2}\right\} w^{2}+\cdots \tag{52}
\end{array}
$$

Equating the coefficients of $z, z^{2}$ in (51) and $w, w^{2}$ in (52), we get
$2 a_{2}=\frac{1}{2} A_{0} B_{1} c_{1}$,
$6 a_{3}=A_{0} B_{1} c_{1} a_{2}+\frac{1}{2} A_{1} B_{1} c_{1}+\frac{1}{2} A_{0} B_{1}\left(c_{2}-\frac{c_{1}^{2}}{2}\right)+\frac{A_{0} B_{2}}{4} c_{1}^{2}$,
$-2 a_{2}=\frac{1}{2} A_{0} B_{1} d_{1}$
and

$$
\begin{align*}
\left(12 a_{2}^{2}-6 a_{3}\right)= & -A_{0} B_{1} d_{1} a_{2}+\frac{1}{2} A_{1} B_{1} d_{1}+ \\
& \frac{1}{2} A_{0} B_{1}\left(d_{2}-\frac{d_{1}^{2}}{2}\right)+\frac{A_{0} B_{2}}{4} d_{1}^{2} \tag{56}
\end{align*}
$$

From (53) and (55), we get
$c_{1}=-d_{1}$,
$8 a_{2}=\left(c_{1}-d_{1}\right) A_{0} B_{1}$
and
$32 a_{2}^{2}=\left(c_{1}^{2}+d_{1}^{2}\right) A_{0}^{2} B_{1}^{2}$.
Adding (54) in (56) and then using (58) and (59), we get
$48 a_{2}^{2}=2 A_{0}^{2} B_{1}^{2} c_{1}^{2}+2\left(c_{2}+d_{2}\right) A_{0} B_{1}+A_{0}\left(c_{1}^{2}+d_{1}^{2}\right)\left(B_{2}-B_{1}\right)$.
Clearly, (58), (59) and (60) along with $\left|c_{i}\right| \leq 2$ and $\left|d_{i}\right| \leq 2$, yields the desired result (47).
Next, subtracting (54) from (56) and then using (57), we get
$-12 a_{3}=-12 a_{2}^{2}+\frac{1}{2}\left(d_{1}-c_{1}\right) A_{1} B_{1}+\frac{1}{2}\left(d_{2}-c_{2}\right) A_{0} B_{1}$.
Using (60) and (61), we get

$$
\begin{align*}
48 a_{3}= & 2 A_{0}^{2} B_{1}^{2} c_{1}^{2}+4 A_{0} B_{1} c_{2}+  \tag{62}\\
& A_{0}\left(c_{1}^{2}+d_{1}^{2}\right)\left(B_{2}-B_{1}\right)-2\left(d_{1}-c_{1}\right) A_{1} B_{1}
\end{align*}
$$

Using (58) in (61), we get

$$
\begin{align*}
-12 a_{3}= & \frac{1}{2}\left(d_{2}-c_{2}\right) A_{0} B_{1}+ \\
& \frac{1}{2}\left(d_{1}-c_{1}\right) A_{1} B_{1}-\frac{3\left(c_{1}-d_{1}\right)^{2} A_{0}^{2} B_{1}^{2}}{16} \tag{63}
\end{align*}
$$

Clearly, (62) and (63) along with $\left|c_{i}\right| \leq 2$ and $\left|d_{i}\right| \leq 2$, yields the desired result (48).
This completes the proof of Theorem 4.2.
Remark 4.3: If we set $\psi(z)=1$ and $\phi(z)=[1+(1-2 \beta) z] /(1-$ $z) ;(0 \leq \beta<1)$ in Theorem 4.2, then we have $B_{1}=B_{2}=2(1-\beta)$ and the class $\mathscr{K}_{\Sigma}^{q}(\phi)$ reduce to the class $\mathscr{K}_{\Sigma}(\beta)$ studied by Brannan and Taha [3]. Note that we get $\left|a_{2}\right| \leq 1-\beta$ and $\left|a_{3}\right| \leq(1-\beta)(3-$ $2 \beta) / 3$ for the functions in the class $\mathscr{K}_{\Sigma}(\beta)$, which is an improvement in Theorem 4.1 given by Brannan and Taha [3].

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